

CF-86

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT APPLICATION

Applicants : Howard Lutnick et al.

Application No. : 10/699,858 Confirmation No. : 7552

Filed : October 31, 2003

For : KEYBOARD TRADING SYSTEM

New York, New York  
January 8, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER FOR SUBMISSION OF FORMAL DRAWINGS

Sir:

Transmitted herewith:  a Preliminary Amendment;  
 a Reply to Office Action;  a Supplemental Amendment;  
 a substitute Specification;  a Declaration;  a  
Supplemental Declaration;  a Power of Attorney;  a  
Submission of Formal Drawings;  Eleven (11) Sheets of  
Formal Drawings (FIGS. 1-11); to be filed in the above-  
identified patent application.

FEE FOR ADDITIONAL CLAIMS

A fee for additional claims is not required.  
 A fee for additional claims is required.

The additional fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT	RATE	ADDITIONAL FEES
TOTAL CLAIMS	-	*	=	X \$ 18 = \$
INDEPENDENT CLAIMS	-	**	=	X \$ 86 = \$
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM				+ \$290 = \$

\* If less than 20, insert 20.

TOTAL \$ \_\_\_\_\_

\*\* If less than 3, insert 3.

A check in the amount of \$ \_\_\_\_\_ in payment of the filing fee is transmitted herewith.

The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

Please charge \$ \_\_\_\_\_ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

[ ] The following extension is applicable to the Response filed herewith; [ ] \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); [ ] \$420.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); [ ] \$950.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); [ ] \$1,480.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a); [ ] \$2,010.00 within fifth month pursuant to 37 C.F.R. § 1.136(a).

[ ] A check in the amount of [ ] \$110.00; [ ] \$420.00; [ ] \$930.00; [ ] \$1,480.00; [ ] \$2,010.00 in payment of the extension fee is transmitted herewith.

[X] The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

[ ] Please charge the [ ] \$110.00; [ ] \$420.00; [ ] \$930.00; [ ] \$1,480.00; [ ] \$2,010.00 extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,



Hassan Albakri  
Agent for Applicants  
Limited Recognition  
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P.O. Box 1450  
Alexandria, VA 22313-1450 on

January 8, 2003  
Lillian Garcia

Lillian Garcia  
Signature of Person Signing



**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
UNITED STATE PATENT AND TRADEMARK OFFICE**

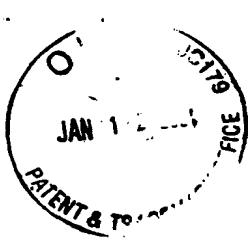
**LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)**

Hassan Albakri is hereby given limited recognition under 37 CFR § 10.9(b), as an employee of the law firm of Fish & Neave, to prepare and prosecute patent applications wherein the patent applicant is a client of the law firm of Fish & Neave, and a registered practitioner, who is a member of the law firm of Fish & Neave, is the practitioner of record in the applications. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Hassan Albakri ceases to lawfully reside in the United States, (ii) Hassan Albakri's employment with the law firm of Fish & Neave, ceases or is terminated, or (iii) Hassan Albakri ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such limited recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

**Expires: December 6, 2004**

  
\_\_\_\_\_  
Harry I. Moatz  
Director of Enrollment and Discipline



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SUBMISSION OF FORMAL DRAWINGS

Sir:

Please substitute the attached formal drawings (11 sheets; FIGS. 1-11) for the informal drawings originally filed with the above-identified patent application.

Respectfully submitted,

Hassan Albakri  
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Limited Recognition  
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